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DATE: 05/17/2005 TO: Examiner Alina A. Boutah U.S. Patent and Trademark Office Group Art Unit: 2143 FACSIMILE NO.: 703 872-9306	FROM: Samuel A. Kassatly TELEPHONE NO.: (408) 323-5111 FACSIMILE NO.: (408) 521-0111 ATTY DOCKET NO.: ARC920010097US1 SUBJECT: Amendment A
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Title: "System and Method for Improving Backup Performance of Media and Dynamic Ready to Transfer Control Mechanism"

Applicant(s): Prasenjit Sarkar et al.

Attorney Docket No.: ARC920010097US1

Serial No.: 10/035,754

Filing Date: 12/29/2001

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE 20

THANK YOU FOR FORWARDING THIS DOCUMENT TO EXAMINER Alina A. Boutah
AS SOON AS POSSIBLE.

Respectfully submitted,



Samuel A. Kassatly
Reg. No. 32,247
Date: 05/17/2005

Enclosure: Amendment A

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I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office, to fax No. 703 872-9306, on 05/17/2005.



Samuel A. Kassatly

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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/035,754	
	Filing Date	12/29/2001	
	First Named Inventor	Prasenjit Sarkar et al.	
	Art Unit	2143	
	Examiner Name	Alina A. Boutah	
Total Number of Pages in This Submission	20	Attorney Docket Number	ARC920010097US1

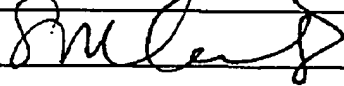
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Facsimile cover page 2) Certificate of Transmission
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Firm Name	Samuel A. Kassatly, Law Office		
Signature			
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Date	05/17/2005	Reg. No.	32247

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Signature			
Typed or printed name	Samuel A. Kassatly	Date	05/17/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete If Known

Application Number	10/035,754
Filing Date	12/29/2001
First Named Inventor	Prasenjit Sarkar et al.
Examiner Name	Alina A. Boutah
Art Unit	2143
Attorney Docket No.	ARC920010097US1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 09-0441 Deposit Account Name: International Business Machines

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☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	0
Design	200	100	100	50	130	65	0
Plant	200	100	300	150	160	80	0
Reissue	300	150	500	250	600	300	0
Provisional	200	100	0	0	0	0	0

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
26 - 20 or HP = 0 x 50 = 0		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
2 - 3 or HP = 0 x 200 = 0		
HP = highest number of independent claims paid for, if greater than 3.		
Multiple Dependent Claims		
360		0

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets: _____ Extra Sheets: _____ Number of each additional 50 or fraction thereof: _____ Fee (\$): _____ Fee Paid (\$): _____

_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

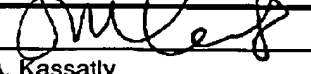
4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

Fees Paid (\$)
0
0

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	32247	Telephone	408-323-5111
Name (Print/Type)	Samuel A. Kassatly	Date	05/17/2005		

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Media and Dynamic Ready to Transfer Control Mechanism"

Applicants: Prasenjit Sarkar et al.

Attorney Docket No.: ARC920010097US1

Serial No.: 10/035,754

Examiner: Alina A. Boutah

Filed: 12/29/2001

Art Unit: 2143

Mail Stop: Amendment
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

This Amendment is submitted in response to the Office action of **March 28, 2005**.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.